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| Regular mail:<br>Pax World Mutual Funds<br>PO Box 9824<br>Providence, RI 02940-8024 | Overnight mail:<br>Pax World Mutual Funds<br>101 Sabin Street<br>Pawtucket, RI 02860-1427<br>Telephone: 800.372.7827 |
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## Coverdell Education Savings Account Transfer of Assets

For help with filling out this form please call Shareholder Services at 800.372.7827 between 8am and 6pm ET M-F.

### 1. Personal Information Responsible Individual

|                        |                   |                   |                            |
|------------------------|-------------------|-------------------|----------------------------|
| First Name             | Middle Initial    | Last Name         | Social Security/Tax ID No. |
| Street Address         | City              | State             | Zip Code                   |
| Birthdate (mm/dd/yyyy) | Daytime Telephone | Evening Telephone | Email Address              |

### Designated Beneficiary of Coverdell ESA

|            |                |           |                            |
|------------|----------------|-----------|----------------------------|
| First Name | Middle Initial | Last Name | Social Security/Tax ID No. |
|------------|----------------|-----------|----------------------------|

### 2. Please tell us where to invest. Complete items A and B

A.  I am opening a Coverdell Education Savings Account(ESA) and have attached the required application.

Deposit the proceeds into my existing Coverdell ESA # \_\_\_\_\_ .

B. Please purchase into the following fund(s):

|                                      |           |          |   |
|--------------------------------------|-----------|----------|---|
| Pax World Balanced Fund - 040        | \$: _____ | or _____ | % |
| Pax World Growth Fund - 042          | \$: _____ | or _____ | % |
| Pax World Small Cap Fund - 048       | \$: _____ | or _____ | % |
| Pax World International Fund -047    | \$: _____ | or _____ | % |
| Pax World High Yield Fund - 044      | \$: _____ | or _____ | % |
| Pax World Women's Equity Fund - 046  | \$: _____ | or _____ | % |
| Pax World Global Green Fund - 049    | \$: _____ | or _____ | % |
| Pax World Money Market Account - 900 | \$: _____ | or _____ | % |
| _____                                | \$: _____ | or _____ | % |

### 3. Please tell us about your current account and authorize the transfer from your current custodian.

- To avoid delays check with your current custodian for their correct address and if they require a Medallion Signature Guarantee.
- If possible attach a copy of a current statement.

#### Only Coverdell Education Savings Assets should be Transferred

|   |      |       |          |
|---|------|-------|----------|
| Name of Current Custodian or Agent      |      |       |          |
| Address of Current Custodian or Agent   | City | State | Zip Code |
| ( )                                     |      |       |          |
| Daytime Telephone of Custodian or Agent |      |       |          |

Please transfer the following investments to PFPC Trust Co. as custodian for the Pax World Coverdell ESA.

1. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 1: # \_\_\_\_\_  Entire Account  Partial \$: \_\_\_\_\_

For Certificates of Deposit

Immediately  At Maturity (Date: \_\_\_\_\_)

2. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 2: # \_\_\_\_\_  Entire Account  Partial \$: \_\_\_\_\_

For Certificates of Deposit

Immediately  At Maturity (Date: \_\_\_\_\_)

I authorize the current custodian or agent to process the transfer of assets as noted to Pax World Mutual Funds. I understand as the Responsible Individual it is my responsibility to assure the prompt transfer of assets by the current custodian or agent. I have read and understand all information in the instructions.

\_\_\_\_\_  
Signature of Responsible Individual | Date

**Medallion Signature Guarantee (if required):** An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Medallion Signature Guarantee**

## Coverdell Education Savings Account Transfer of Assets

### Instructions to Responsible Individual (Please Read Carefully).

This form will be used by Pax World Mutual Funds to initiate a transfer of assets from an existing Coverdell ESA as designated on this form to a Coverdell ESA at Pax World Mutual Funds. For certificates of deposit, please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and, if applicable, the appropriate new account application for the Coverdell Education Savings Account to:

**First Class Mail:**

Pax World Mutual Funds  
PO Box 9824  
Providence, RI 02940-8024

**Overnight Courier:**

Pax World Mutual Funds  
101 Sabin St.  
Pawtucket, RI 02860-1427  
Phone: 800.372.7827

Insufficient information or incorrect forms will result in delays in processing your instructions.

### Instructions to Resigning Custodian/Transfer Agent.

Please liquidate the Coverdell ESA account as specified in Section 3. Issue a check payable as indicated below and mail along with any other instructions to the applicable address above.

**Issue check payable to:** PFPC Trust Company as Custodian for the Pax World Mutual Funds Coverdell ESA

FBO \_\_\_\_\_ Account #: \_\_\_\_\_

### Acceptance by PFPC Trust Company as Custodian.

PFPC Trust Company accepts its appointment as Custodian of the above referenced Coverdell ESA and has established a Coverdell ESA under Internal Revenue Code Section 530 in Pax World Mutual Funds, as indicated on the front of this form. PFPC Trust Company, as Custodian, cannot accept assets other than cash in the form of a check. Upon receipt of the check, the proceeds will be credited to the above referenced Coverdell ESA.

Accepted by PFPC Trust Company, as Custodian for Pax World Coverdell ESA.

\_\_\_\_\_  
Authorized Representative of PFPC Trust Company

\_\_\_\_\_  
Date