

TRANSFER OF ASSETS/DIRECT ROLLOVER
TRADITIONAL IRA AND SEP-IRA PLANS

1. Please tell us about yourself

Name (Exactly as it appears on your current retirement account) Date of Birth
Address Social Security Number
City State Zip Code Daytime Phone Number

2. Please tell us where to invest. Complete items A, B, C and D.

- A. I am opening a new account(s) and have attached the required application.
Deposit the proceeds into my existing retirement account #

C. Type of Account: IRA SEP-IRA

- D. Type of Request:
Transfer of Assets (like plans)
Direct Rollover Qualified Plan to IRA
Direct Rollover 403(b) or 457 to IRA

B. Please purchase into the following fund(s):

Balanced Fund - 040 \$ or %
Growth Fund - 042 \$ or %
High Yield Fund - 044 \$ or %
Value Fund - 045 \$ or %

Women's Equity Fund - 046 \$ or %
Money Market Fund - 043 \$ or %

3. Please tell us about your current plan and authorize the transfer from your current custodian.

- To avoid delays check with your current custodian for their correct address and if they require a signature guarantee.
If you are rolling over a Qualified Plan, contact your plan administrator for distribution/rollover forms.
Attach a copy of a current statement if possible.

Type of account you are transferring or rolling over (check one):
IRA SEP-IRA Qualified Plan 403(b) 457 Plan

Please transfer the following investments to PFPC Trust Co. as custodian for the Pax World IRA.

Name of Current Custodian or Agent
Address of Current Custodian or Agent
City State Zip Code
Telephone Number of Custodian

1. Fund Name or Type of Investment to be transferred
Account Number for Investment 1
Entire Account Partial \$
For Certificates of Deposit
Immediately At Maturity (Date:)
2. Fund Name or Type of Investment to be transferred

I authorize the transfer of assets or direct rollover as noted above to my Pax World IRA and authorize Pax World Funds and PFPC Trust Company to process this request on my behalf. I understand it is my responsibility to assure the prompt transfer of assets by the current custodian. I have read and understand all information in the instructions and hereby provide the applicable direct rollover certification.

Account Number for Investment 2
Entire Account Partial \$
For Certificates of Deposit
Immediately At Maturity (Date:)

Signature of Shareholder (Required) Date

Signature Guarantee Stamp and Signature
(If required by your current custodian or transfer agent)

TO BE COMPLETED BY PFPC TRUST CO. CUSTODIAN ONLY. ISSUE CHECK PAYABLE TO:

PFPC FBO
Account #
SSN

PAX WORLD FUNDS
TRANSFER OF ASSETS/DIRECT ROLLOVER - IRA AND SEP-IRA PLANS

INSTRUCTIONS TO THE SHAREHOLDER (Please Read Carefully).

This form will be used by Pax World Funds to initiate a transfer of assets or direct rollover on your behalf from an existing Retirement Plan account as designated on this form to your Traditional or SEP IRA at Pax World. If you are over age 70½, you are responsible to request a distribution of any Required Minimum Distribution at the time of this Transfer of Assets. Please remember that a transfer of assets can ONLY occur between the SAME type of retirement plans, example IRA to IRA, 403(b) to 403(b). If you are requesting a DIRECT ROLLOVER, please read the section below. For certificates of deposit please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and the appropriate new account application for your IRA (if required) to:

First Class Mail:
Pax World Funds
PO Box 9824
Providence, RI 02940-8024

Overnight Courier:
Pax World Funds
c/o PFPC, Inc.
101 Sabin St.
Pawtucket, RI 02860-1427
Phone: 800-372-7827

Insufficient information or incorrect forms will result in delays in processing your instructions. If you need assistance in completing this form please contact our Customer Service Representatives at 800-372-7827. If you need additional forms please call 800-767-1729.

DIRECT ROLLOVER INFORMATION - CERTIFICATION (Please Read Carefully).

If this contribution is a Direct Rollover from a Qualified Plan, 403(b) or 457 Plan, I understand that by signing the front of this form I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatments which may be accorded to distributions from Qualified Plans, 403(b) or 457 Plans.

You may want to contact your current plan administrator or custodian to ensure that you have completed any documents they may require in order to complete your request as well as establish the timing of the distribution. If you are over age 70½, please contact your current Custodian regarding the Required Minimum Distribution rules before initiating a Direct Rollover. *Pax World Funds can only accept Direct Rollovers from a Qualified Plan to an IRA in the form of "cash" in check or draft form.*

INSTRUCTIONS TO RESIGNING CUSTODIAN/TRANSFER AGENT.

Please liquidate the participant's account as specified in section 3. Issue a check payable as indicated in section 3 and mail along with any other instructions to:

First Class Mail:
Pax World Funds
c/o PFPC, Inc.
PO Box 9824
Providence, RI 02940-8024

Overnight Courier:
Pax World Funds
c/o PFPC, Inc.
101 Sabin St.
Pawtucket, RI 02860-1427
Phone: 800-372-7827

ACCEPTANCE BY PFPC TRUST COMPANY AS CUSTODIAN.

PFPC Trust Company accepts its appointment as Custodian of the above referenced IRA and has established an IRA as indicated by the shareholder on the front of this form under the Internal Revenue Code Section 408(a) for IRAs under the shareholder's name in Pax World Funds. Pax World Funds and PFPC Trust Company, as custodian, cannot accept assets other than cash. Upon receipt of the check, the proceeds will be credited to the named participant's account.

Accepted by PFPC Trust Company, as custodian for the Pax World Funds.

Authorized Representative of PFPC Trust Company

Date