



Pax World Mutual Funds

Please mail to:  
 Pax World Funds  
 PO Box 9824  
 Providence, RI 02940-8024

## Transfer of Assets/Direct Rollover Traditional IRA and SEP-IRA Plans

### 1. Please tell us about yourself

First Name		Middle Initial	Last Name		Social Security/Tax ID No.
Street Address		City	State	Zip Code	
Birthdate (mm/dd/yyyy)	( ) ( ) ( )	Daytime Telephone	( ) ( ) ( )	Evening Telephone	Email Address

### 2. Please tell us where to invest. Complete items A, B, C, and D.

A.  I am opening a new account(s) and have attached the required application.

Deposit the proceeds into my existing retirement account # \_\_\_\_\_.

B. Type of Account:  IRA  SEP-IRA

C. Type of Request:

- Transfer of Assets (like plans)
- Direct Rollover Qualified Plan to IRA
- Direct Rollover 403(b) or 457 to IRA

D. Please purchase into the following fund(s):

Pax World Balanced Fund - 040	\$: _____ or _____ %
Pax World Growth Fund - 042	\$: _____ or _____ %
Pax World Small Cap Fund - 048	\$: _____ or _____ %
Pax World International Fund -047	\$: _____ or _____ %
Pax World High Yield Fund - 044	\$: _____ or _____ %
Pax World Women's Equity Fund - 046	\$: _____ or _____ %
Pax World Global Green Fund - 049	\$: _____ or _____ %
Pax World Money Market Account - 900	\$: _____ or _____ %
_____	\$: _____ or _____ %

### 3. Please tell us about your current plan and authorize the transfer from your current custodian.

- To avoid delays check with your current custodian for their correct address and if they require a signature guarantee.
- If you are rolling over a Qualified Plan, contact your plan administrator for distribution/rollover forms.
- Attach a copy of a current statement if possible.

**Type of Account you are Transferring or Rolling Over** (check one):

- IRA  SEP-IRA  Qualified Plan  403(b)  457 Plan

Name of Current Custodian or Agent				
Street Address of Current Custodian or Agent		City	State	Zip Code
( ) ( ) ( )				
Daytime Telephone of Custodian				

Please transfer the following investments to PFPC Trust Co. as custodian for the Pax World IRA.

1. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 1: # \_\_\_\_\_

Entire Account  Partial \$: \_\_\_\_\_

For Certificates of Deposit

Immediately  At Maturity (Date: \_\_\_\_\_)

2. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 2: # \_\_\_\_\_

Entire Account  Partial \$: \_\_\_\_\_

For Certificates of Deposit

Immediately  At Maturity (Date: \_\_\_\_\_)

I authorize the transfer of assets or direct rollover as noted above to my Pax World IRA and authorize Pax World Funds and PFPC Trust Company to process this request on my behalf. I understand it is my responsibility to assure the prompt transfer of assets by the current custodian. I have read and understand all information in the instructions and hereby provide the applicable direct rollover certification.

\_\_\_\_\_  
Signature of Shareholder (required) | Date

**Medallion Signature Guarantee (if required):** An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Medallion Signature Guarantee**

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**To Be Completed By PFPC Trust Co. Custodian Only. Issue Check Payable To:**

PFPC FBO \_\_\_\_\_

Account #: \_\_\_\_\_

SSN #: \_\_\_\_\_

## Transfer of Assets/Direct Rollover Traditional IRA and SEP-IRA Plans

### Instructions to Shareholders (Please Read Carefully).

This form will be used by Pax World Funds to initiate a transfer of assets or direct rollover on your behalf from an existing Retirement Plan account as designated on this form to your Traditional or SEP IRA at Pax World. If you are over age 70½, you are responsible to request a distribution of any Required Minimum Distribution at the time of this Transfer of Assets. Please remember that a transfer of assets can ONLY occur between the SAME type of retirement plans, example IRA to IRA. If you are requesting a DIRECT ROLLOVER, please read the section below. For certificates of deposit please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and the appropriate new account application for your IRA (if required) to:

#### First Class Mail:

Pax World Funds  
PO Box 9824  
Providence, RI 02940-8024

#### Overnight Courier:

Pax World Funds  
c/o PNC Global Investment Servicing  
101 Sabin St.  
Pawtucket, RI 02860-1427  
Phone: 800.372.7827

Insufficient information or incorrect forms will result in delays in processing your instructions. If you need assistance in completing this form, please contact our Shareholder Services at 800.372.7827 between 8AM and 6PM ET M-F. If you need additional forms, please call 800.767.1729.

### Direct Rollover Information - Certification (Please Read Carefully).

If this contribution is a Direct Rollover from a Qualified Plan, 403(b) or 457 Plan, I understand that by signing the front of this form I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatments which may be accorded to distributions from Qualified Plans, 403(b) or 457 Plans.

You may want to contact your current plan administrator or custodian to ensure that you have completed any documents they may require in order to complete your request as well as establish the timing of the distribution. If you are over age 70½, please contact your current Custodian regarding the Required Minimum Distribution rules before initiating a Direct Rollover. Pax World Funds can only accept Direct Rollovers from a Qualified Plan to an IRA in the form of "cash" in check or draft form.

### Instructions to Resigning Custodian/Transfer Agent.

Please liquidate the participant's account as specified in section 3. Issue a check payable as indicated in section 3 and mail along with any other instructions to:

#### First Class Mail:

Pax World Funds  
c/o PNC Global Investment Servicing  
PO Box 9824  
Providence, RI 02940-8024

#### Overnight Courier:

Pax World Funds  
c/o PNC Global Investment Servicing  
101 Sabin St.  
Pawtucket, RI 02860-1427  
Phone: 800.372.7827

### Acceptance by PFPC Trust Company as Custodian.

PFPC Trust Company accepts its appointment as Custodian of the above referenced IRA and has established an IRA as indicated by the shareholder on the front of this form under the Internal Revenue Code Section 408(a) for IRAs under the shareholder's name in Pax World Funds. Pax World Funds and PFPC Trust Company, as custodian, cannot accept assets other than cash. Upon receipt of the check, the proceeds will be credited to the named participant's account.

Accepted by PFPC Trust Company, as custodian for the Pax World Funds.

\_\_\_\_\_  
Authorized Representative of PFPC Trust Company

\_\_\_\_\_  
Date