

# PAX WORLD FUNDS

## ROLLOVER/CONVERSION CERTIFICATION

### ROTH IRA

Mail to: Pax World Funds  
 PO Box 9824  
 Providence, RI 02940-8024

Use this form to certify a rollover distribution if you have received proceeds from your current Roth IRA or eligible conversion distribution from a Traditional IRA and are depositing those funds into your Pax World Roth IRA. You must complete the rollover within 60 calendar days of your receipt of that distribution.

#### 1. PERSONAL INFORMATION

|            |                |           |                        |   |
|------------|----------------|-----------|------------------------|---|
| First Name | Middle Initial | Last Name | Social Security Number |   |
|            |                |           | /                      | / |
| Street     |                |           | Date of Birth          |   |
|            |                |           | (                      | ) |
| City       | State          | Zip Code  | Telephone              |   |

#### 2. TYPE OF ROLLOVER CONTRIBUTION

Pax World Roth IRA Account Number (if applicable) \_\_\_\_\_  
 (If you are establishing a new Pax World Roth IRA you must also complete a Roth IRA Application.)

- Roth IRA Rollover** - A distribution of all or part of your account balance from another Roth IRA which is being rolled over into your Pax World Roth IRA within 60 days of receipt. Note that 365 days must have passed since you last received a rollover distribution from the distributing Roth IRA.
- Traditional IRA Conversion Distribution** - A distribution from a Traditional IRA which is being converted and/or rolled over into your Pax World Roth IRA within 60 days of receipt. Ordinary income taxes must be paid on the distribution in the year of the distribution. You may not convert any portion of a Required Minimum Distribution (RMD).
- Qualified Domestic Relations Order Distribution**

#### 3. INVESTMENT INSTRUCTIONS

Please purchase into the following Fund(s):


|                       |                      |                           |                      |
|-----------------------|----------------------|---------------------------|----------------------|
| Balanced Fund - 040   | \$ _____ or _____ %. | Women's Equity Fund - 046 | \$ _____ or _____ %. |
| Growth Fund - 042     | \$ _____ or _____ %. | Money Market Fund - 043   | \$ _____ or _____ %. |
| High Yield Fund - 044 | \$ _____ or _____ %. | _____                     | \$ _____ or _____ %. |
| Value Fund - 045      | \$ _____ or _____ %. | _____                     | \$ _____ or _____ %. |

#### 4. CERTIFICATION

I certify that the contribution described above is an eligible Roth IRA rollover/conversion contribution and that I am rolling over this contribution within 60 calendar days of my receipt of that distribution. I understand that this rollover is irrevocable and involves important tax considerations.

I agree that I am solely responsible for all tax consequences of this rollover contribution. I also agree that neither the Roth IRA custodian nor Pax World Funds shall have any responsibility for any such tax consequences. I also understand that restrictions regarding subsequent rollovers may apply.

**I have read and understand and agree to be legally bound by the terms of this form. I also understand that the Roth IRA custodian will rely on this form when accepting my rollover/conversion contribution. I understand I am not eligible for a Conversion if my adjusted gross income (single or joint) exceeds \$100,000, or I am married and filing separately. I understand that this rollover/conversion is irrevocable. I also understand that I am responsible for the movement of the rollover/conversion to my successor Roth IRA, and that neither PFPC Trust Company, PFPC Inc. nor Pax World Funds have any duty to enforce the collection of any assets to be rolled over to my Pax World Roth IRA.**

 \_\_\_\_\_ Date \_\_\_\_\_

Participant's Signature