



Pax World Mutual Funds

Regular mail: Pax World Mutual Funds PO Box 9824 Providence, RI 02940-8024	Overnight mail: Pax World Mutual Funds 101 Sabin Street Pawtucket, RI 02860-1427 Telephone: 800.372.7827
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## SIMPLE IRA Transfer of Assets

For help with filling out this form please call Shareholder Services at 800.372.7827 between 8AM and 6PM ET M-F.

### 1. Personal Information

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
Street Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)	Daytime Telephone	Evening Telephone	Email Address

### 2. Please tell us where to invest. Complete items A and B

A.  I am opening a new account(s) and have attached the required application or documents.

Deposit the proceeds into my existing SIMPLE IRA account # \_\_\_\_\_

B. Please purchase into the following fund(s):

Pax World Balanced Fund - 040	\$: _____	or _____	%
Pax World Growth Fund - 042	\$: _____	or _____	%
Pax World Small Cap Fund - 048	\$: _____	or _____	%
Pax World International Fund -047	\$: _____	or _____	%
Pax World High Yield Fund - 044	\$: _____	or _____	%
Pax World Women's Equity Fund - 046	\$: _____	or _____	%
Pax World Global Green Fund - 049	\$: _____	or _____	%
Pax World Money Market Account - 900	\$: _____	or _____	%
_____	\$: _____	or _____	%

### 3. Please tell us about your current plan and authorize the transfer from your current custodian.

- To avoid delays, check with your current custodian for their correct address and if they require a Medallion Signature Guarantee.
- If possible attach a copy of a current statement.

#### Only SIMPLE IRA Assets should be Transferred.

Type of Account you are transferring from:  SIMPLE IRA

Name of Current Custodian or Agent			
Address of Current Custodian or Agent	City	State	Zip Code
( )			
Daytime Telephone of Custodian			

1. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 1: \_\_\_\_\_  Entire Account  Partial \$:

For Certificates of Deposit  Immediately  At Maturity (Date: \_\_\_\_\_)

2. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 2: \_\_\_\_\_  Entire Account  Partial \$:

For Certificates of Deposit  Immediately  At Maturity (Date: \_\_\_\_\_)

I authorize the transfer of assets to my Pax World SIMPLE IRA and authorize Pax World Funds and PFPC Trust Company to process this request on my behalf. I understand it is my responsibility to assure the prompt transfer of assets by the current custodian. I have read and understand all information in the instructions.

\_\_\_\_\_  
 Signature of Participant | \_\_\_\_\_  
 Date

**Medallion Signature Guarantee (if required by your current custodian):** An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Medallion Signature Guarantee**

**Instructions to Shareholders (Please Read Carefully).**

This form will be used by PFPC Trust Company to initiate a Transfer of Assets on your behalf from an existing SIMPLE IRA as designated on this form to your SIMPLE IRA at Pax World Mutual Funds. If you are over 70 ½ please advise us if you wish to take required distributions from this account and what your distribution election is; otherwise no action will be taken on our part. Please remember this Transfer of Assets can only occur between SIMPLE IRA accounts. For certificate of deposits please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and the appropriate new account application for your SIMPLE IRA, if required, to:

**First Class Mail:**  
 Pax World Mutual Funds  
 PO Box 9824  
 Providence, RI 02940-8024

**Overnight Courier:**  
 Pax World Mutual Funds  
 101 Sabin St.  
 Pawtucket, RI 02860-1427  
 Phone: 800.372.7827

Insufficient information or incorrect forms will result in delays in processing your instructions.

**Instructions to Resigning Custodian/Transfer Agent.**

Please liquidate the Participant’s account as specified in Section 3. Issue a check payable as indicated below and mail along with any other instructions to the applicable address above.

**Issue check payable to:** PFPC Trust Company as Custodian for the Pax World Mutual Funds SIMPLE IRA

FBO \_\_\_\_\_ Account #: \_\_\_\_\_

**Acceptance by PFPC Trust Company as Custodian.**

PFPC Trust Company accepts its appointment as custodian of the above referenced SIMPLE IRA account and has established a SIMPLE IRA as indicated by the shareholder on the front of this form under the Internal Revenue Code Section 408(p) for SIMPLE IRAs under the shareholder’s name. Pax World Mutual Funds and PFPC Trust Company, as custodian, cannot accept assets other than cash in check or draft form. Upon receipt of the check, the proceeds will be credited to the named Participant’s account.

Accepted by PFPC Trust Company, as custodian for Pax World Mutual Funds.

\_\_\_\_\_  
 Authorized Representative of PFPC Trust Company | \_\_\_\_\_  
 Date